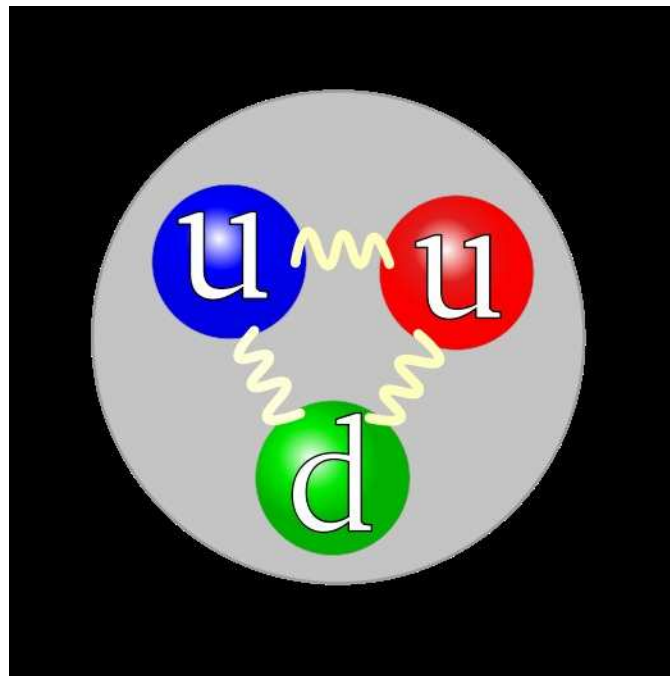


# On quark masses

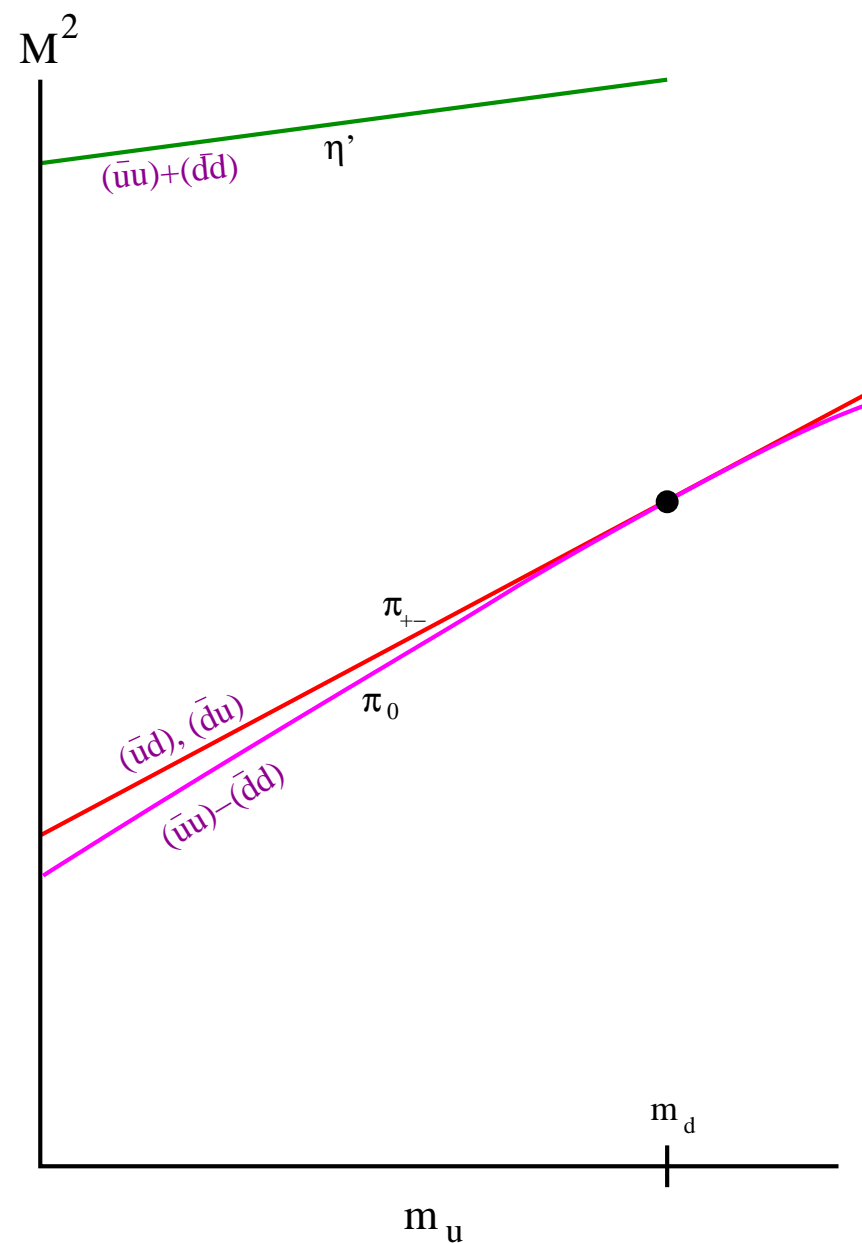
Michael Creutz

BNL



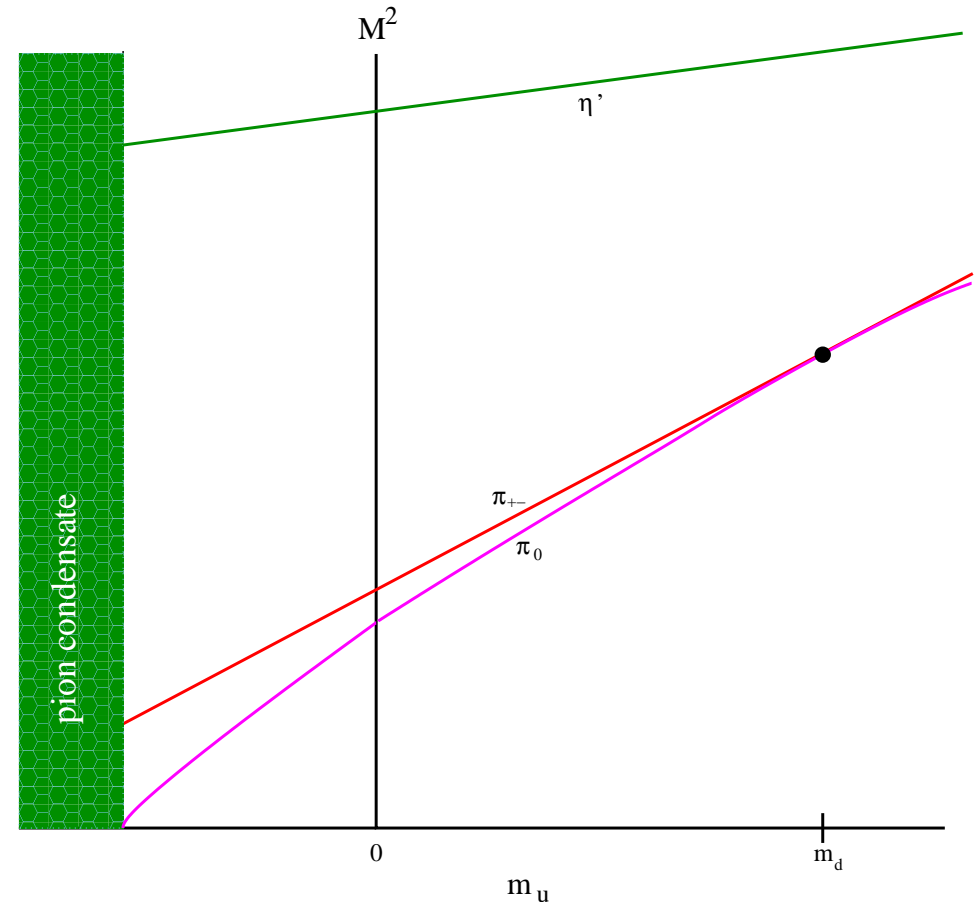
## Pseudoscalars in two flavor QCD

- fix  $m_d$  , vary  $m_u$ 
  - $M_\pi^2 \propto \frac{m_u + m_d}{2}$
  - $M_{\eta'} \sim \Lambda_{qcd}$
- with isospin broken
  - $M_{\pi_\pm}^2 - M_{\pi_0}^2 \propto (m_d - m_u)^2$
  - $\eta'$ ,  $\pi_0$ , glueballs all mix



No singularity at  $m_u = 0$

- extrapolate to negative  $m_u$
- $M_{\pi_0}^2$  can go negative
- pion condensate forms
  - $\langle \pi_0 \rangle \neq 0$
  - CP broken
- occurs at  $\Theta = \pi$ 
  - $\prod_q m_q < 0$



Dashen 1971

Manifested in both “linear” and “nonlinear” sigma models

Second order transition at non-vanishing  $m_u$  and  $m_d$  of opposite sign

- long distance physics without small Dirac eigenvalues

No structure at  $m_u = 0$  when  $m_d \neq 0$

- no long distance physics despite possible small Dirac eigenvalues

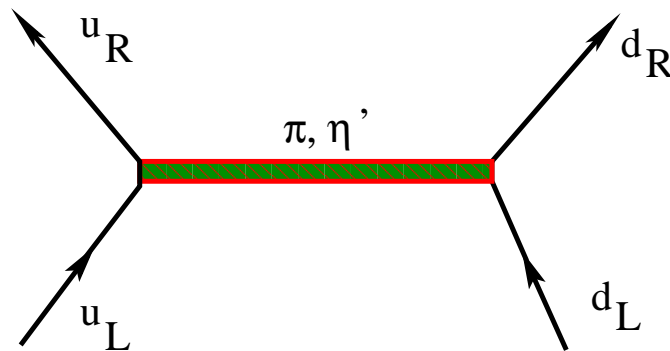
At the heart of several frustrating and bitter controversies

- Does  $m_u = 0$  have any fundamental meaning?
- Do rooted staggered fermions make sense?
- Is topological susceptibility a physical observable?

Two flavors in the massless limit:  $m_u = m_d = 0$

- massive proton, neutron, eta prime, glueballs
- 3 massless Goldstone pions

Eta prime and neutral pion: distinct mixtures of  $\bar{u}u$ ,  $\bar{d}d$ , and glue

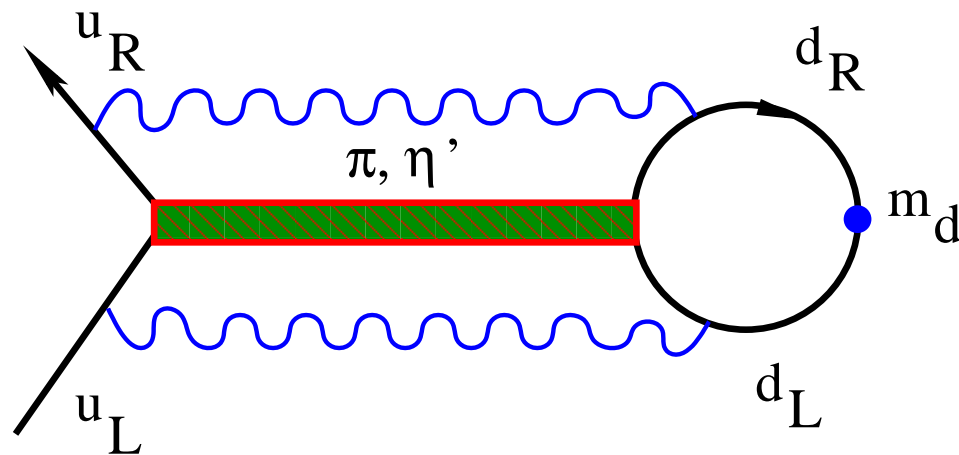


- anomaly:  $\pi_0$  and  $\eta'$  not degenerate
- four point vertex  $\langle \bar{u}_L u_R \bar{d}_L d_R \rangle$  does not vanish

Helicity-flip quark-quark scattering does not vanish in the chiral limit

Now turn on a small  $d$  quark mass

- closing  $d$  loop induces  $u_L u_R$  mixing



- gluons inserted to compensate for odd meson parity

Non-zero  $d$  quark mass induces an effective mass for the  $u$  quark

## Non-perturbative effects

- renormalize  $\frac{m_u}{m_d}$
- quark mass ratios **not** renormalization group invariant
  - (except in isospin limit)

Effect automatically included in lattice simulations

## Old point

- Georgi, McArthur, 1981 (unpublished)
- Banks, Nir, Seiberg, 1994 (conference proceedings)
- MC, 2003 (unpublished)
- MC, 2004 (PRL)

Intense consternation from the perturbative community

- effect **not** seen perturbatively, i.e. in the  $\overline{MS}$  scheme
- consequences
  - mass renormalization is not flavor blind
  - mass independent regularization problematic
  - inherent ambiguities defining  $m_u = 0$

$\overline{MS}$  is only a perturbative regulator

- when  $m_u \neq m_d$

Matching lattice masses to  $\overline{MS}$  is not appropriate!



## Specific critiques

Complaint 1:

- Use a mass independent regularization
  - $a \frac{dm_i}{da} = \gamma(g)m_i \Rightarrow \frac{m_i}{m_j} = \text{constant}$

Response:

- allowed, but obscures above off-diagonal  $m_d$  effect on  $m_u$
- no guarantee that  $\frac{m_i}{m_j}$  universal between schemes
- lattice is not a mass independent scheme
  - unclear how to do matching

When  $m_u \neq m_d$

- isospin broken

- $\frac{M_{\pi^0}^2}{M_{\pi^\pm}^2} = 1 - O\left(\frac{(m_u - m_d)^2}{(m_u + m_d)\Lambda_{qcd}}\right)$

Holding quark mass ratios fixed

- hadronic mass ratios scale dependent

Holding hadronic mass ratios fixed

- quark mass ratios scale dependent

## Complaint 2:

- Do matching at 100 GeV
- instantons exponentially suppressed and irrelevant

## Response:

- the lattice simulations are not done at miniscule scales
  - instanton effects must be included
- $1/g^2 \sim \log(\mu) \sim \log(1/a)$ 
  - exponential suppression in  $1/g^2 \rightarrow$  power in scale  $\mu$

Effect controlled by

- $M_{\eta'} - M_{\pi_0} \propto \mu g^{-\beta_1/\beta_0^2} e^{-1/(2\beta_0 g^2)} \not\rightarrow 0$ 
  - $\beta_0 = \frac{1}{16\pi^2} (11 - 2N_f/3)$
  - $\beta_1 = \left(\frac{1}{16\pi^2}\right)^2 (102 - 38N_f/3)$
- also proportional to  $m_d - m_u$
- estimate at scale  $\mu = 2 \text{ GeV}$ 
  - $\Delta m_u(\mu) \sim \frac{(M_{\eta'} - M_{\pi_0}) (m_d - m_u)}{\mu} = O(1 \text{ MeV})$
  - same magnitude as quoted “results”

## Note

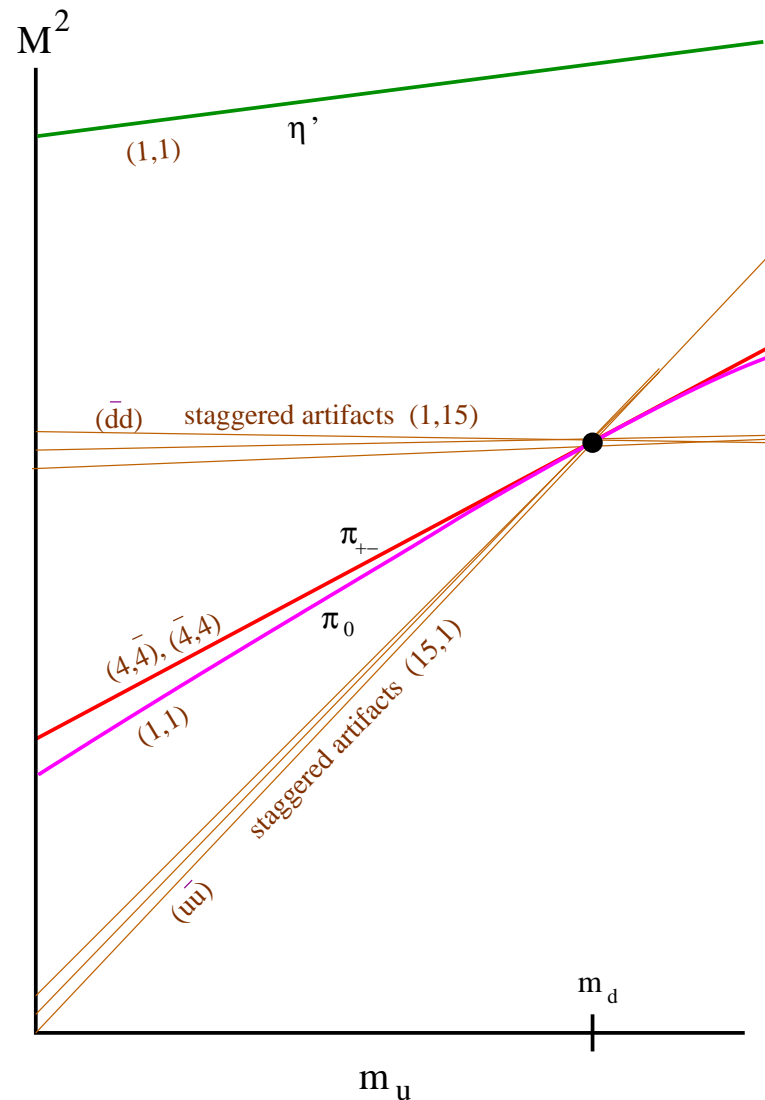
- $M_{\eta'} \propto \mu g^{-\beta_1/\beta_0^2} e^{-1/(2\beta_0 g^2)}$
- exponential behavior controlled by
- $\frac{1}{2\beta_0 g^2} = \frac{8\pi^2}{(11-2n_f/3)g^2} \ll \frac{8\pi^2}{g^2} = \text{classical instanton action}$
- topological excitations above quantum, not classical, vacuum
- classical instanton action strongly overestimates suppression

## Rooted staggered quarks

- tastes:  $(SU(4)_u, SU(4)_d)$
- well separated spurious states
- not only in chiral limit
- one massless at  $m_u = 0$ 
  - required by symmetry

Can multiple artifacts cancel?

- requires unitarity violation



Plausible???

## Summary

Non-perturbative effects mix mass terms for different species

- effect absent in perturbation theory
  - inappropriate to match lattice and perturbative masses

Interesting phase structure with negative mass quarks

- CP violating pion condensation
- no structure at  $m_u = 0$  when  $m_d \neq 0$

Crucial to resolving many controversies

- $m_u = 0$ , topological susceptibility, rooting

Review: Acta Physica Slovaca 61, 1 (2011), arXiv:1103.3304

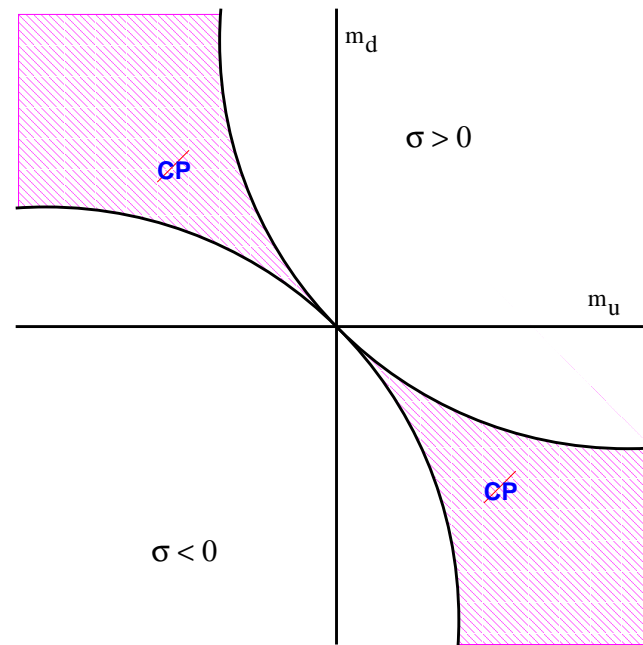
free download at <http://www.physics.sk/aps/>

## Extra Slides



Ising-like transition at  $m_u < 0$

- order parameter  $\langle \pi_0 \rangle \neq 0$
- breaks CP spontaneously



Connected with the anomaly and  $M_{\eta'} \sim \Lambda_{qcd}$

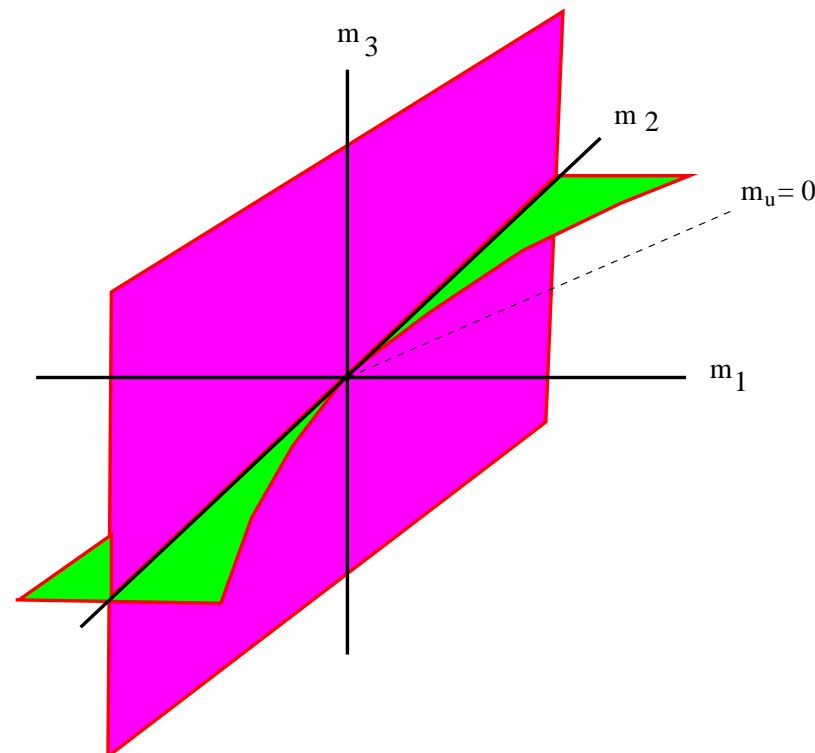
- non-perturbative

General mass term  $m_1 \bar{\psi} \psi + m_2 \bar{\psi} \tau_3 \psi + i m_3 \bar{\psi} \gamma_5 \psi$

- average quark mass, quark mass difference, CP violation from Theta

Two intersecting first order surfaces

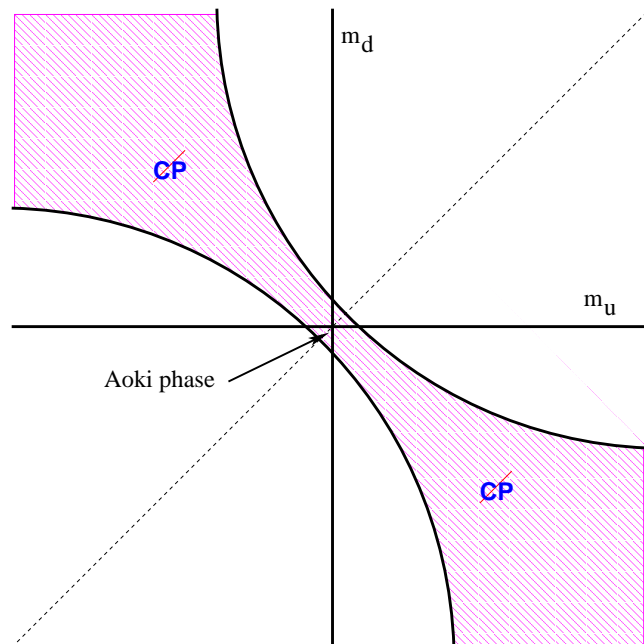
- $(m_1 = 0, m_3 \neq 0)$  and  $(m_1 < m_2, m_3 = 0)$



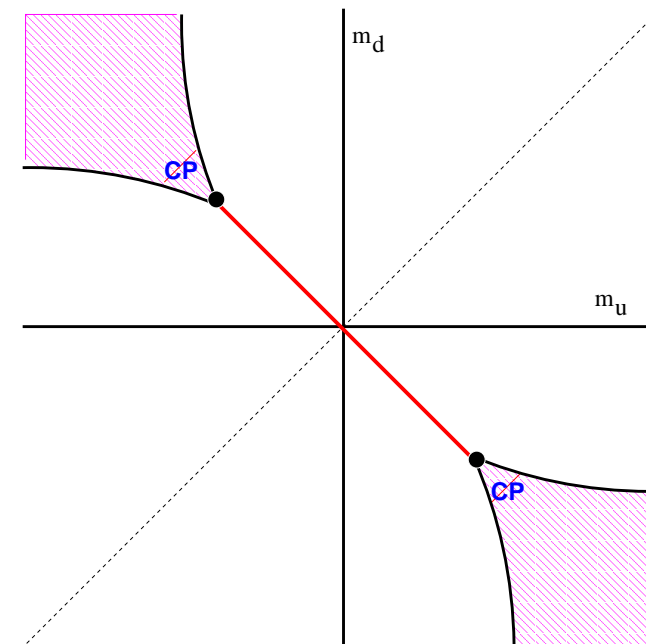
Second order edge at  $m_3 = 0, 0 < |m_1| < |m_2|$

CP breaking related to the Aoki phase

- Wilson fermion lattice artifacts
- phase persists in isospin limit



- First order alternative



Which alternative remains controversial

- can depend on lattice action